

FORM PTO-892	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	SERIAL NO. <b>09/373786</b>	GROUP ART UNIT <b>2164</b>	ATTACHMENT TO PAPER NO. <b>2</b>
NOTICE OF REFERENCES CITED		APPLICANT(S) <b>Leistensnider</b>		

## U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	A	5,978,778	11/1999	O'Shaughnessy	705	36	
	B	6,061,663	5/2000	Bloom et al.	705	36	
	C	5,297,032	3/1994	Trojan et al.	705	37	
	D	5,819,238	10/1998	Fernholz	705	36	
	E	5,946,666	8/1999	Nevo et al	705	36	
	F	6,064,985	5/2000	Anderson	705	36	
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	R	CHARLES SCHWAB: Schwab introduces Analytics Fund; utilizes quantitative techniques to seek above-market returns, Business Wire, May 21, 1996
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EXAMINER <b>Jeffrey Pwu</b>	DATE <b>July 25, 2001</b>	Form892ccs2106b
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\* A copy of this reference is not being furnished with this office action.  
(See Manual of Patent Examining Procedure, section 707.05(a).)